



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: David William Holden, Jacqueline Elizabeth Shea, and Michael Hensel

Patent No.: 5,876,931 Issue Date: March 2, 1999

Entitled: IDENTIFICATION OF GENES

Microscience Limited  
(Name of Assignee)

a Corporation  
(Type of Assignee)

CONTINUATION OF  
STATEMENT UNDER 37 C.F.R. § 3.73(b)

B. 4. From: Imperial College To: Imperial College Innovations Limited and  
Innovations Limited Microscience Limited, jointly

The document was recorded in the United States Patent and Trademark  
Office at

Reel: 012252, Frame: 0103, or for which a copy thereof is attached.

11 March 2002

Date

JONATHAN P.H.H. POCKSON

Typed or Printed Name

[Signature]

Signature

cfo

Title



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: David William Holden, Jacqueline Elizabeth Shea, and Michael Hensel

Patent No.: 5,876,931 Issue Date: March 2, 1999

Entitled: IDENTIFICATION OF GENES

Imperial College Innovations Limited  
(Name of Assignee)

a Corporation  
(Type of Assignee)

CONTINUATION OF  
STATEMENT UNDER 37 C.F.R. § 3.73(b)

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Reel: 012252, Frame: 0103, or for which a copy thereof is attached.

\_\_\_\_\_  
Date

S. Seale

\_\_\_\_\_  
Typed or Printed Name

[Signature]  
\_\_\_\_\_  
Signature

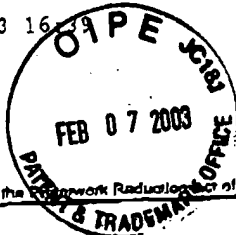
managing director

\_\_\_\_\_  
Title

ATL1 #510911 v1

FEB 03 2003 16:09

#7526 P.003/004



PTO/SOBC (08-00)

Approved for use through 10/31/2002. OMB 0581-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: David William HoldenApplication No./Patent No.: 6,015,669 Filed/Issue Date: January 18, 2000Entitled: Identification Of GenesImperial College Innovations Limited, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %

in the patent application/patent identified above by virtue of either:

- A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

OR

- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: David William Holden To: RPMS Technology Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 2113, Frame 0723, or for which a copy thereof is attached.
2. From: RPMS Technology Limited To: Imperial College Innovations Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 010113, Frame 0746, or for which a copy thereof is attached.
3. From: Imperial College Innovations Limited To: Imperial College Innovations Limited and Microscience Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 012252, Frame 0103, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

- [ ] Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/3/2003  
DatePatrea L. Pabst, Reg. No. 31,284

Typed or printed name

Signature

Attorney of Record

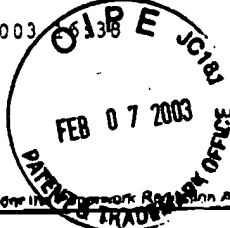
Title

Burdan Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RPMS 101 CON 07823000018

FEB. 03 '2003

#7526 P.002/004



PTO/BB/96 (28-00)

Approved for use through 10/31/2002 OMB 0851 0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Trademark Registration Act of 1906, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: David William Holden, Jacqueline Elizabeth Shea, and Michael HenselApplication No./Patent No.: 6,342,215 Filed/Issue Date: January 29, 2002Entitled: Identification Of GenesMicroscience Limited, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is.

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
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1. From: David William Holden To: RPMS Technology Ltd.  
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2. From: RPMS Technology Ltd. To: Imperial College Innovations  
The document was recorded in the United States Patent and Trademark Office at Reel 010113, Frame 0746, or for which a copy thereof is attached.
3. From: Imperial College Innovations Limited To: Imperial College Innovations Limited and Microscience Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 012252, Frame 0103, or for which a copy thereof is attached.

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- ☐ Copies of assignments or other documents in the chain of title are attached.  
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/3/2003  
DatePatrea L. Pabst, Reg. No. 31,284

Typed or printed name

Signature

Attorney of Record

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEEs OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RPMS 101 CON 3 - 2 078230/00018

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19'2002 16:27

Approved for use through 10/31/2002, OMB 0531-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 1.73(h)**

Applicant/Patent Owner: Microscience Limited  
Application No./Patent No.: 09/714,602 Filed/Issue Date: November 16, 2000  
Entitled: IDENTIFICATION OF GENES  
Microscience Limited, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of less than the entire right, title and interest.  
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OR

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1. From: Inventor To: RPMS Technology Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 9113, Frame 0723, or for which a copy thereof is attached.
2. From: RPMS Technology Limited To: Imperial College Innovations Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 010113, Frame 0746, or for which a copy thereof is attached.
3. From: Imperial College Innovations Limited To: Imperial College Innovations Limited and Microscience Limited  
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**NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPSP 302.05]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

20.2.02  
Date

Richard  
Typed or printed name  
W R J Richards  
Signature  
Chief Executive  
Title

Bursen Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Received at: 9:28PM, 2/19/2002

FEB. 19 '2002

#1430 P.004/007

Approved for use through 10/31/2002, OMB 0851-0031  
 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Imperial College Innovations LimitedApplication No./Patent No.: 09/714,602Filed/Issue Date: November 16, 2000Entitled: IDENTIFICATION OF GENESImperial College Innovations Limited, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

20-2-02

Date

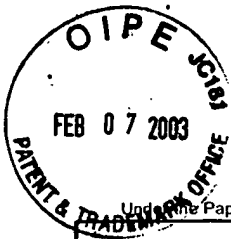
S. SCARLE

Typed or printed name

Signature

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assignment Commissioner for Patents, Washington, DC 20231.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: David William Holden, Jacqueline Elizabeth Shea, and Michael Hensel

Application No./Patent No.: 5,876,931 Filed/Issue Date: March 2, 1999

Entitled: IDENTIFICATION OF GENES

Microscience Limited, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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The document was recorded in the United States Patent and Trademark Office at Reel 010113, Frame 0746, or for which a copy thereof is attached.  
Jacqueline Elizabeth Shea
3. From: and Michael Hensel To: Imperial College Innovations Limited  
The document was recorded in the United States Patent and Trademark Office at Reel 010340, Frame 0694, or for which a copy thereof is attached.

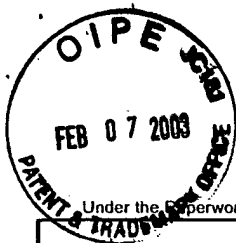
☒ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11. March 2002  
Date

JONATHAN R.H. Pockson  
Typed or printed name  
[Signature]  
Signature  
CFO  
Title

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: David William Holden, Jacqueline Elizabeth Shea, and Michael HenselApplication No./Patent No.: 5,876,931 Filed/Issue Date: March 2, 1999Entitled: IDENTIFICATION OF GENESImperial College Innovations Limited, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at  
Reel 9113, Frame 0723, or for which a copy thereof is attached.

2. From: RPMS Technology Limited To: Imperial College Innovations Limited

The document was recorded in the United States Patent and Trademark Office at  
Reel 010113, Frame 0746, or for which a copy thereof is attached.  
Jacqueline Elizabeth Shea

3. From: and Michael Hensel To: Imperial College Innovations Limited

The document was recorded in the United States Patent and Trademark Office at  
Reel 010340, Frame 0694, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

S. Seane

Typed or printed name

Signature

Managing Director

Title



L.12'2001 08:27

#4489 P.002

#13  
21303

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Resident: David William Holden, Jacqueline Elizabeth Shea, and Michael Hensel

Serial No: 09/201,945

Art Unit: 1636

Filed: December 1, 1998

Examiner: R. Schwartzman

For: IDENTIFICATION OF GENES

Assistant Commissioner  
for Patents  
Washington, D. C. 20231**POWER OF ATTORNEY BY ASSIGNEE OF THE ENTIRE INTEREST  
AND REVOCATION OF PRIOR POWERS**

Sir:

As owner of the entire interest of the above-identified patent application, all powers of attorney previously given are hereby revoked and the following attorneys and agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst  
Zhaoyang Li  
Rivka MonheitRegistration No. 31,284  
Registration No. 46,872  
Registration No. P-48,731

Please send all correspondence relating to the above-identified patent application to:

Patrea L. Pabst  
HOLLAND & KNIGHT LLP  
One Atlantic Center  
1201 West Peachtree Street, Suite 2000  
Atlanta, Georgia 30309-3400(404) 817-8473 - Telephone  
(404) 817-8588 - Telefax

JUL 12 '2001 08:27

#4489 P.003

U.S.N. 09/201,945  
Filed December 1, 1998  
Power of Attorney by Assignee of Entire  
Interest and Revocation of Prior Powers

The undersigned signatory (whose title is supplied below) is empowered to act on behalf of the assignee identified below, and has reviewed all the documents in the chain of title of the parent application and, to the best of undersigned's knowledge and belief, title is in the assignee.

IMPERIAL COLLEGE INNOVATIONS LIMITED

By:

Name:

Title:

J. B. Taylor

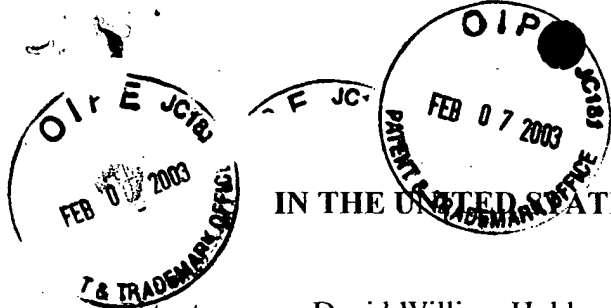
J. B. TAYLOR

CHIEF EXECUTIVE

Date:

13<sup>th</sup> July 2001

ATL1 #471816 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: David William Holden, Jacqueline Elizabeth Shea, and Michael Hensel

Patent No.: 5,876,931

Issued: March 2, 1999

For: IDENTIFICATION OF GENES

Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
ATTENTION: Certificate of Correction Branch

**POWER OF ATTORNEY BY ASSIGNEE OF AN UNDIVIDED JOINT INTEREST  
AND REVOCATION OF PRIOR POWERS**

Sir:

As owner of an undivided joint interest in the above-identified patent application, as evidenced by the attached Statement Under 37 C.F.R. § 3.73(b), all powers of attorney previously given are hereby revoked and the following attorneys and agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst  
Zhaoyang Li  
Rivka D. Monheit  
Yang Xu  
Jerome R. Smith

Registration No. 31,284  
Registration No. 46,872  
Registration No. 48,731  
Registration No. 45,243  
Registration No. 35,684

U.S. Patent No. 5,876,931  
Filed: March 2, 1999  
Power of Attorney by Assignee of  
an Undivided Joint Interest and  
Revocation of Prior Powers


**Please send all correspondence relating to the above-identified patent application to:**

**Patrea L. Pabst  
HOLLAND & KNIGHT LLP  
Suite 2000, One Atlantic Center  
1201 West Peachtree Street, N.E.  
Atlanta, Georgia 30309-3400**

**(404) 817-8473 (Telephone)  
(404) 817-8588 (Fax)**

The undersigned signatory (whose title is supplied below) is empowered to act on behalf of the assignee identified below, and has reviewed all the documents in the chain of title of the above-identified patent application and, to the best of the undersigned's knowledge and belief, title is in the assignee and Microscience Limited.

**IMPERIAL COLLEGE INNOVATIONS LIMITED**

By: \_\_\_\_\_

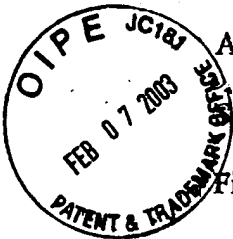
Printed Name: S. Seare

Title: Managing Director

Date: 11/3/2002

ATL1 #510953 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: David William Holden

Serial No: 09/714,602

Art Unit: 1636

Filed: November 16, 2000

Examiner: G. Leffers

For: *IDENTIFICATION OF GENES*

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

**POWER OF ATTORNEY BY ASSIGNEE OF A JOINT INTEREST  
AND REVOCATION OF PRIOR POWERS**

Sir:

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Zhaoyang Li  
Rivka Monheit  
Jerome R. Smith

Registration No. 31,284  
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Registration No. 48,731  
Registration No. 35,684

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Atlanta, Georgia 30309-3400

(404) 817-8473 - Telephone  
(404) 817-8588 - Telefax

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IMPERIAL COLLEGE INNOVATIONS LIMITED

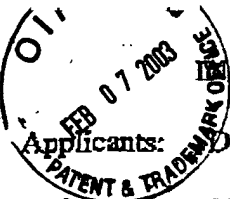
By: 

Name: S. Seane

Title: Managing Director

Date: 2-20-01

ATL1 #509235 v1



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David William Holden

Serial No: 09/714,602

Art Unit: 1636

Filed: November 16, 2000

Examiner: G. Leffers

For: IDENTIFICATION OF GENES

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

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Rivka Monheit	Registration No. 48,731
Jerome R. Smith	Registration No. 35,684

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One Atlantic Center  
1201 West Peachtree Street, Suite 2000  
Atlanta, Georgia 30309-3400

(404) 817-8473 - Telephone  
(404) 817-8588 - Telefax

RTMS 101 COM(2)  
078230/00006

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MICROSCIENCE LIMITED

By: W R Richards  
Name: RICHARDS  
Title: CHIEF EXECUTIVE

Date: 20.2.02

ATL1 #609241 v1





Please type a plus sign (+) inside this box



PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMB control number.

## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	U.S. Patent No. 5,876,931
Filing Date	Issued: March 2, 1999
First Named Inventor	David William Holden
Title	IDENTIFICATION OF GENES
Group Art Unit	
Examiner Name	
Attorney Docket Number	RPMS 101

I hereby appoint:

☐ Practitioners at Customer Number  **OR**

☒ Practitioner(s) named below:

Name	Registration Number
Patrea L. Pabst	31,284
Zhaoyang Li	46,872
Rivka Monheit	48,731
Yang Xu	45,243
Jerome R. Smith	35,684

Place Customer  
Number Bar Code  
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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### SIGNATURE of Applicant or Assignee of Record

Name Microscience Limited

Signature

Date 11 March 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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